

Possession Protocols CQC Procedure

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Possession Protocols CQCN Procedure

1. Description

The Possession Protocols comprise a procedure for Aurizon Network that governs its communications and engagements with Operators about the planning of Possessions on the CQCN. The Possession Protocols apply on a consistent basis to all of the CQCN and all Operators.

Aurizon Network's process of communication and engagement with Operators about Possessions is closely related to its train path planning processes as set out in the Network Management Principles and the System Rules. Accordingly, the Possession Protocols should be read in conjunction with the Network Management Principles and the System Rules.

The Possession Protocols are consistent with UT5.

This document is effective from the date given in this document until further notice, and it:

- (a) is subject to change; and
- (b) typically includes work associated with Maintenance Work and any other activity both internally and externally that may impact the network.

Aurizon Network invites any queries, feedback or comments regarding this document to be directed to access.services@aurizon.com.au.

2. Introduction

2.1 Context

The Possession Protocols set out the basis on which Aurizon Network will communicate and engage with all Operators about the planning of Possessions on the CQCN. The purpose of the Possession is to establish a communication and engagement process that is:

- (a) straightforward,
- (b) transparent,
- (c) clear, and
- (d) consistent for all operators

The implementation of the process set out in the Possession Protocols will facilitate the effective and efficient operation of the CQCN, and thereby facilitate the effective and efficient utilisation of Access Rights by Operators.

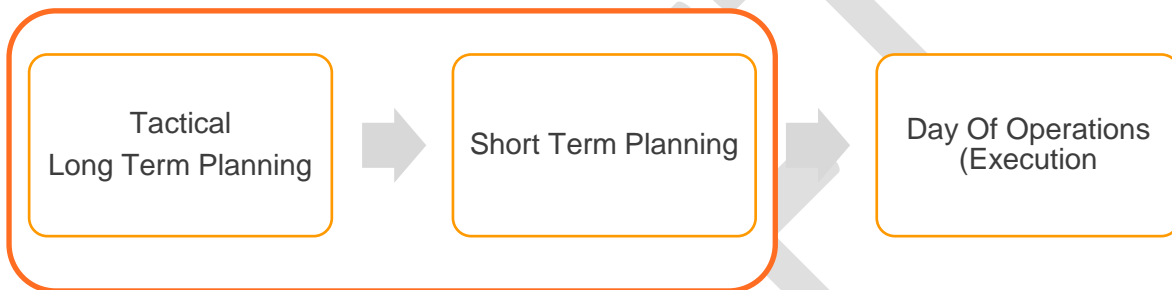
2.2 Scope

This procedure details the process by which Aurizon Network will communicate and engage with all Operators about the planning of Possessions throughout the Possession lifecycle.

This document does not detail the internal management process by which Aurizon Network determines the quantum, duration and timing of Possessions, after taking into account safety, operational, asset management, contractual, coal supply chain, risk and other matters.

3. Perform <Possession Protocols CQCN> Process

This procedure covers only Tactical Long Term Planning & Short-Term Planning



4. Tactical Long Term Planning of Possessions

4.1 Purpose

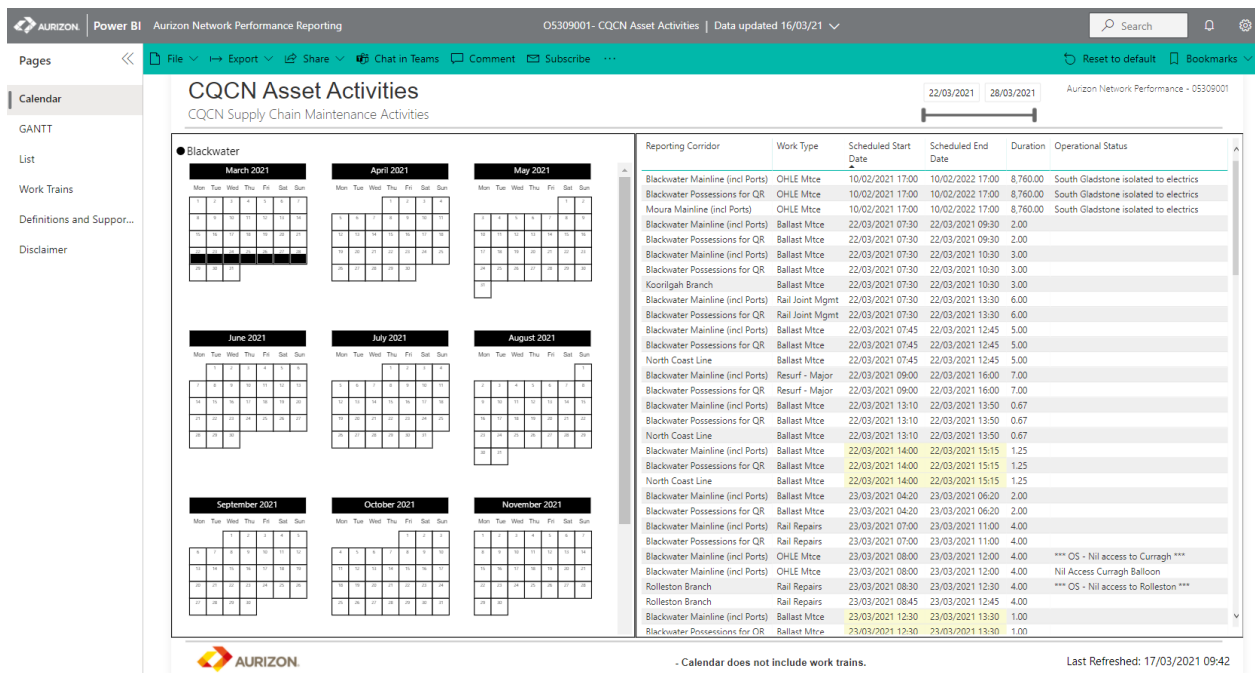
The purpose of this Section 4 is to specify how Aurizon Network will communicate and engage with all Operators about its tactical long term planning of Possessions.

4.2 Notification of Proposed Possessions

Aurizon Network auto publishes a number of reports directly from planning systems to a Power BI generated report listed as:

05309001-CQCN Asset Activities

This Power Bi report forms part of a suite of auto published reports CQCN Supply Chain Maintenance Activities available to Access Holders as shown below, and listed in the tabs under that report.



The reports contain agreed activities from the Maintenance and Renewal Strategy, additional Maintenance Work, and other known activities impacting the network which may be from internal and/or external sources.

4.3 Comments from Operators

Aurizon Network is open to comments from Operators on each of the reports published as part of the Power BI suite of documents forming CQCQ Supply Chain Maintenance Activities.

5. Short Term Planning of Possessions

5.1 Purpose

The purpose of Section 5 is to specify how Aurizon Network will communicate and engage with Operators about its short-term planning of Possessions.

5.2 Notification of Asset Constraint Summaries

Aurizon Network auto publishes reporting consisting of the Power BI generated reports listed below:

- 05309001-CQCQ Asset Activities
- 05303001-CQCQ Pathing

These reports, in combination, consist of CQCN Supply Chain Maintenance Activities available to Access Holders and the 4 Week Pathing Availability Plan (4WPAP).

For each Possession during the 4WPAP Period, all urgent possessions, if any, planned by Aurizon Network as at the date of that report are included.

Each Possession during the relevant 4WPAP Period and CQCN Asset Activity detail will specify:

- (a) the date, starting time and ending time of that Possession
- (b) the critical asset(s) affected by the Possession, and
- (c) the resulting impact on the affected Coal System's access availability.

The reports are considerate of the latest MTP.

Aurizon Network auto publishes reports generated through Power BI which are effective upon the last refresh date shown on the report, these automatically update at set periods and will supersede previously advertised reports.

Each of these reports are subject to Variation by Aurizon Network Under Section 6.

6. Variation to Short Term Planning Of Possessions

6.1 Purpose

The purpose of this Section 6 is to specify how Aurizon Network will communicate and engage with operators about variations, including Urgent Possessions and Possessions taken on opportunistic bases, to its short-term planning of Possessions.

6.2 Alteration to Supply Chain Maintenance Activities

During the life cycle of a Possession, Aurizon Network may, subject to UT5 Schedule G clause 5.4(c)(iv), determine to:

- (a) Cancel a Possession that is advertised in the CQCN Asset Activities Power BI Reports;
or
- (b) Vary a Possession included in the CQCN Asset Activities Power BI Reports; or
- (c) Plan new Possessions to take effect at any time as advertised in 5309001-CQCN Asset Activities and 5303001-CQCN Pathing Power Bi reports.

Aurizon Network auto publishes reports through Power BI which are effective upon the last refresh date shown on the report, these automatically update at set periods and will supersede previous advertised reports.

Changes to the 5309001-CQCN Asset Activities Power BI reports from the previous advertised report are identified as being Yellow.

7. Emergency Possessions

7.1 Purpose

The purpose of Section 7 is to specify how Aurizon Network will communicate and engage with Operators about Emergency Possessions.

7.2 Notification of Emergency Possessions

Aurizon Network will notify Operators of Emergency Possessions as soon as practicable.

8. Authorisation

Approved By: Evelyn Cornell, Manager Access Planning & Scheduling, Aurizon Network

Authorised By: John Woods, Head of Planning & Scheduling, Aurizon Network

9. Reference Documents

- Aurizon Network's 2017 Access Undertaking
- System Rules

10. Revision History

Version No.	Description of Change	Preparer (P) / Reviewer (R)
1.0	First Issue	Sarah Dixon
2.0	Second Issue	Scott Riedel
3.0	Third Issue	John Woods

11. Key Words

Term	Definition
Access Agreement	An agreement between Aurizon Network and an Access Holder for the provision of Access
Access Rights	Has the meaning given to that term in UT5
Coal System	Has the meaning given to the term in UT5
CQCN	The Central Queensland Coal Network encompassing every Coal System
CQCN Supply Chain Maintenance Activities	A suite of Power BI documents contained in 05309001-CQCN Asset Activities
05303001-CQCN Pathing	Power BI pathing report that contains the 4WPAP
Daily Train Plan or DTP	The meaning given to that term in UT5 clause 5.1 of Schedule G
Emergency Possession	A Possession required to rectify a serious fault with the Rail Infrastructure that Aurizon Network (acting reasonably) considers dangerous to any person, or where sever speed restrictions have been imposed affecting the scheduled Train Services of Railway Operators and that Aurizon Network, complying with the Possession Protocols will use reasonable endeavours to carry out within seven days after the detection of the fault giving rise for the need for that Possession.
4 Week Pathing Availability Plan	Means a plan that shows the train paths available to Operators over the plan's 4WPAP Period
4WPAP Period	Means the period defined in Section 5.2
Maintenance Work	Has the meaning given to that term in UT5
Maintenance and Renewal Strategy	The scope of Maintenance Work included within the Maintenance Strategy and Budget and the Renewals Strategy and Budget for that Year prepared under UT5 clause 7A.11.3
MTP or Master Train Plan	Has the meaning given to that term in UT5
Network Management Principles	Has the meaning given to that term in UT5
Operator	Means an entity that is both: (a) a party to an Access Agreement, and (b) responsible under that agreement for conducting above-rail operations
Possession	Means that temporary closure and/or occupation by Aurizon Network or part of the rail infrastructure (including closure of track or isolation of any electrical overhead traction system) for the purpose of carrying out Planed Possession, urgent Possession or Emergency Possession on or in the proximity of the Rail Infrastructure which may affect the safety of any person or property
Possession Change	Has the meaning given in Section 4.2
Possession Protocols	The protocols developed and advised by Aurizon Network from time to time (as varied in accordance with any Access Agreement) for managing and scheduling Possessions

Term	Definition
System Rules	Has the meaning given to that term in UT5
Train Control	Means the management and monitoring of all train movements and of all other operation of rollingstock on the CQCN and of any activities affecting or potentially affecting such train movements or rollingstock operation
Urgent Possession	Means a possession required to correct problems that Aurizon Network acting reasonably, considers potentially dangerous or property other than an Emergency Possession.
UT5	Means Aurizon Network's 2017 Access Undertaking (UT5), as approved by the Queensland Competition Authority on 21 February 2019 and amended from time to time

12. Interpretation

(1) Where Aurizon Network is required under Possession Protocols to issue a document or Power report, in respect of all Coal Systems, Aurizon Network may elect to issue two or more separate Power BI reporting, provide these reports are not inconsistent with one another and are taken together, to relate to all Coal Systems.

(2) All references in this document to sections are references to sections of this document, unless otherwise stated.